

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No..... 10/693,396
Filing Date..... 10/24/2003
Confirmation No. 2522
First Named Inventor Jeffrey P. Snover
Assignee Microsoft Corporation
Group Art Unit 2192
Examiner Michael J. Yigdall
Attorney's Docket No. MS1-1740US
Title: Mechanism for Obtaining and Applying Constraints to Constructs within an
Interactive Environment

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

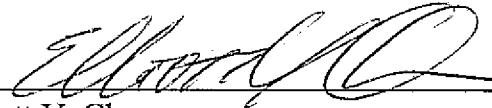
From: Elliott Y. Chen (Tel.206-876-6001; Fax 509-323-8979)
Customer Number: 22801
Lee & Hayes, PLLC
601 W. Riverside Avenue, Suite 1400
Spokane, WA 99201

Applicant is submitting herewith a copy of the Information Disclosure Statement and related documents originally submitted to the U.S. Patent and Trademark Office via U.S. mail on March 29, 2004, for the above referenced application. The receipt of this Information Disclosure Statement by the Office on April 1, 2004 is evidenced by the attached copy of a stamped Post Card. However, this submitted Information Disclosure Statement was not considered by the Office. Applicant respectfully requests that the art in this Information Disclosure Statement, as originally submitted on March 29, 2004, be considered and entered as part of the official record in the above referenced application.

Fees will be paid by credit card through the EFS Web; however the Commissioner is hereby authorized to charge any deficiency of fees and credit any overpayments to Deposit Account Number 12-0769.

Respectfully Submitted,

Dated: 12-8-09

By: 
Elliott Y. Chen
Reg. No. 58293
206-876-6001

MS1-1740US

Microsoft Corporation

3/29/04

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

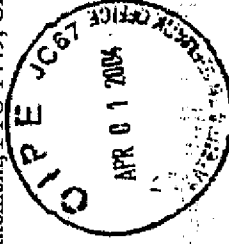
Serial No.: 10/693,396

Filing Date: Oct 24, 2003

Title: "Mechanism for Obtaining and Applying Constraints to Constructs within an Interactive Environment"

Inventorship: Snover et al.

1. Transmittal Letter
2. Fee Transmittal Letter
3. Information Disclosure Statement, PTO 1449, Cited References (12)
4. Return Post Card



LEE & HAYES, PLLC
(509) 324-9256

MS1-1740US

Microsoft Corporation

3/29/04

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the documents enumerated below, relating to the following application for letters patent:

Serial No.: 10/693,396

Filing Date: Oct 24, 2003

Title: "Mechanism for Obtaining and Applying Constraints to Constructs within an Interactive Environment"

Inventorship: Snover et al.

1. Transmittal Letter
2. Fee Transmittal Letter
3. Information Disclosure Statement, PTO 1449, Cited References (12)
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LEE & HAYES, PLLC
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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/693,396
	Filing Date	Oct 24, 2003
	First Named Inventor	Snover
	Group Art Unit	2131
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	MS1-1740US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Cited References (12)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Marcia A. Tunheim
Signature	<i>Marcia A. Tunheim</i>
Date	3/29/04

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 3/29/04	
Typed or printed name	Wendy A. Saxby
Signature	<i>Wendy A. Saxby</i>
Date	3/29/04

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 10/693,396
Filing Date Oct 24, 2003
First Named Inventor Snover
Examiner Name
Art Unit 2131
Attorney Docket No. MS1-1740US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 12-0769
Deposit Account Name Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent		-20** =		X		
Multiple Dependent		-3** =		X		

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Print/Type) Marcia A. Tunheim Registration No. (Attorney/Agent) 42,189 Telephone (206) 315-4001
Signature Marcia A. Tunheim Date 3/29/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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2 Serial No..... 10/693,396
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4 Inventorship..... Snover
5 Applicant Microsoft Corporation
6 Group Art Unit 2131
7 Attorney's Docket No. MS1-1740US
8 Title: Mechanism for Obtaining and Applying Constraints to Constructs within an
9 Interactive Environment

10 **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)**

11 The citations listed, copies attached, may be material to the examination of
12 the subject application and are therefore submitted in compliance with the duty of
13 disclosure defined in 37 CFR §1.56. The Examiner is requested to make these
14 citations of official record in this application.

15 Respectfully Submitted,

16 Date: 3/29/04

17 By: Marcia A. Tunheim
18 Marcia A. Tunheim
19 Reg. No. 42,189